



Directorate of Research, Innovation, and Partnership (DRIP)
Research Policy
Sule Lamido University Kadin Hausa.

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PREFACE

Research has become a central mission for universities worldwide, serving as a key driver of scientific and industrial progress for nations. The establishment and growth of research universities, particularly in Europe and the United States, reflect this recognition. Notably, the Bayh-Dole Act in the United States during the 1980s significantly boosted research output, catalyzing the development of research-focused institutions globally.

Sule Lamido University Kafin-Hausa (SLUK) aspires to be Nigeria's emerging research university for several reasons. The university has updated its mission statement to prioritize research and knowledge transfer. Additionally, the Directorate of Research, Innovation, and Partnership (DRIP) has been established to coordinate and oversee all research activities. The university is also adopting policies on Research, Authorship, and Intellectual Property to guide research practices and emphasize training for academic staff, researchers, and postgraduate students in conducting ethical research. In line with its revised mission, SLUK is committed to addressing Africa's developmental challenges through cutting-edge research, knowledge transfer, and the education of highly skilled graduates.

The current Research Policy, developed by DRIP, outlines standards for faculty, researchers, students, and administrative staff to support the university's mission. It serves as a concise, comprehensive guide to research management and best practices. Section One details the rationale behind the policy, the guiding philosophy, and the primary research priorities at SLUK. Section Two explains DRIP's organizational structure and functions, including the Board of Research, Innovation, and Partnership (BRIP), which governs DRIP.

Section Three focuses on research development, while Section Four provides guidelines for conducting responsible research in alignment with national, professional, and international ethical standards. This section also includes procedures for managing cases of research misconduct. Section Five covers funding and financial management, while Section Six outlines the range of research support services available. Section Seven establishes protocols for research collaborations and knowledge or technology transfer, while Section Eight provides recommendations for training and mentoring junior faculty and students. Section Nine emphasizes research documentation and public communication, and Section Ten outlines methods for monitoring and evaluating the effectiveness of the university's research management. This structured approach aims to enhance the university's research visibility and impact.

All employees, including visiting scholars, students, and partners at SLUK, are expected to conduct research in accordance with this Research Policy. Compliance is expected to enhance researchers' visibility and influence, ultimately strengthening the university's standing.

I extend my sincere appreciation to the committee members who have diligently worked to develop this policy.

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1. INTRODUCTION

1.1 Preamble

The primary force behind global socioeconomic advancement is the creation and application of new knowledge. This drives top universities to balance teaching with research. Sule Lamido University Kafin Hausa (SLUK) similarly prioritizes research alongside its teaching mission, recognizing the need for a robust research policy to foster innovation among staff and students. The University aims to become a leading global research institution.

This policy document marks a pivotal shift toward impactful research, innovation, knowledge sharing, and collaboration. It offers guidance, procedures, and essential information for conducting research within the University. The primary objective is to build a framework and support systems that help scholars advance their careers, enhance community service, and bolster the University's strategic position.

Reflecting SLUK's commitment to supporting research that addresses contemporary national and global challenges, the policy emphasizes collaborative knowledge creation, networking, and knowledge transfer. Importantly, this policy supplements existing regulations and professional standards on research conduct and dissemination, ensuring adherence to the highest ethical standards in research practices, reporting, and financial management.

1.2 Philosophy of the University

The University shall draw inspiration from and be a beacon of light to all the people of Jigawa State and shall dedicate itself to the creation of better living conditions for the people. The University shall do this through the application of knowledge and skills generated.

Vision To be a top-class University that provides a stimulating teaching, learning and research environment in pursuit of solutions to societal problems.

Mission To produce graduates, sound in character and learning, at undergraduate and graduate levels, imbued with the spirit of service and dedicated to innovative applications of knowledge aimed at improving the lives of people within Jigawa State, the nation and humanity in general.

1.3 Philosophy and Principles of the Research Policy

The University Research Policy's conceptual premise aims to establish a research environment that encourages staff and students to engage in productive research with great potential for social growth. The standards of quality, integrity, honesty, and openness, as well as transparency and accountability, must be followed by all researchers.

1.4 Policy Rationale

This policy is founded on the following key principles:

- i. Universities serve as essential hubs within national, regional, and global knowledge networks.
- ii. The advancement of a nation or region is largely influenced by its knowledge framework.
- iii. High-impact research drives the creation of new knowledge.
- iv. Research is not conducted in isolation but through a network of relationships among universities, collaborating institutions, communities, and the private sector.
- v. Intentional policy direction and leadership are vital for establishing and maintaining credible research, innovation, and partnerships.

1.5 Research Focus

To uphold and enhance its status as a center of learning, SLUK must ensure that the knowledge it generates is effectively shared for the benefit of humanity. The university community must pursue teaching, research, and knowledge transfer in a deliberate and balanced way. Academic staff will be encouraged to engage in research individually, collaboratively, or in partnership with other academics and resource persons both within Nigeria and internationally.

The following areas/disciplines represent the major thrust/direction of this University: -

- i. Agriculture and Natural Resource Management
- ii. Arts and Communications
- iii. Computing, Mathematics and Information Technology
- iv. Education
- v. Sustainable Environment
- vi. Humanities
- vii. Law
- viii. Life Science
- ix. Medical Sciences
- x. Natural and Applied Sciences
- xi. Religious Studies
- xii. Social and Management Sciences
- xiii. Engineering and Technology

The University will support significant interdisciplinary and multidisciplinary research effort and collaboration in the following key areas, considering global dynamics:

- i. Energy Studies and Environmental Management
- ii. Food Security, Poverty, Livelihood and Sustainable Development
- iii. Health Care Delivery
- iv. Language, Religion and Social Reorientation
- v. Legal and Governance Systems
- vi. Technology Development and Deployment
- vii. Venture Creation and Entrepreneurship
- viii. Water and Sanitation

Research Themes for Sule Lamido University Kafin Hausa (SLUK)

Research themes for SLUK, align with the United Nations Sustainable Development Goals (SDGs) and the peculiarities of Jigawa State and Nigeria:

1. No Poverty (SDG 1)

- i. Sustainable Livelihoods and Rural Development
- ii. Agricultural Innovations for Poverty Reduction
- iii. Entrepreneurship and Small Business Development
- iv. Social Protection and Economic Inclusion Strategies

2. Zero Hunger (SDG 2)

- i. Climate-Smart Agriculture and Food Security
- ii. Livestock and Fisheries Development
- iii. Post-Harvest Loss Reduction and Value Addition

- iv. Indigenous and Nutrient-Rich Crops for Sustainable Nutrition

3. Good Health and Well-being (SDG 3)

- i. Maternal and Child Health Interventions
- ii. Infectious and Non-Communicable Diseases Control
- iii. Digital Health and Telemedicine for Rural Communities
- iv. Traditional Medicine and Herbal Therapeutics

4. Quality Education (SDG 4)

- i. ICT-Driven Learning and E-Education
- ii. Curriculum Development for Emerging Technologies
- iii. Teacher Training and Pedagogical Innovations
- iv. Literacy and Skills Development for Out-of-School Youth

5. Gender Equality (SDG 5)

- i. Women's Empowerment in STEM and Entrepreneurship
- ii. Gender-Based Violence Prevention and Support Systems
- iii. Access to Education for Girls and Women
- iv. Policy Frameworks for Gender Equity in Employment

6. Clean Water and Sanitation (SDG 6)

- i. Water Resource Management and Conservation
- ii. Affordable and Sustainable Water Purification Technologies
- iii. Sanitation and Hygiene Innovations for Rural Communities
- iv. Wastewater Treatment and Reuse

7. Affordable and Clean Energy (SDG 7)

- i. Renewable Energy Solutions for Rural Areas
- ii. Solar and Biomass Energy for Domestic and Industrial Use
- iii. Smart Grid and Energy Efficiency Systems
- iv. Policy and Business Models for Sustainable Energy

8. Decent Work and Economic Growth (SDG 8)

- i. Informal Sector Productivity and Financial Inclusion
- ii. Green and Digital Economy Development
- iii. Industrialization and Job Creation Strategies
- iv. Labor Market Policies and Skills Development

9. Industry, Innovation, and Infrastructure (SDG 9)

- i. ICT and Broadband Expansion for Economic Growth
- ii. Smart and Resilient Transport Infrastructure
- iii. Research and Development in Local Industries

- iv. Digital Transformation and Technopreneurship

10. Reduced Inequalities (SDG 10)

- i. Inclusion of Persons with Disabilities in Education and Employment
- ii. Socioeconomic Policies for Reducing Regional Disparities
- iii. Migration, Urbanization, and Social Cohesion
- iv. Equitable Access to Healthcare and Public Services

11. Sustainable Cities and Communities (SDG 11)

- i. Urban Planning and Climate Resilience
- ii. Affordable Housing and Slum Upgrading
- iii. Sustainable Waste Management and Recycling
- iv. Community-Based Disaster Risk Reduction

12. Responsible Consumption and Production (SDG 12)

- i. Circular Economy and Sustainable Manufacturing
- ii. Waste Reduction and Recycling Technologies
- iii. Sustainable Agricultural Practices
- iv. Green Supply Chains and Eco-Friendly Packaging

13. Climate Action (SDG 13)

- i. Climate Change Adaptation and Mitigation Strategies
- ii. Environmental Impact Assessments and Policies
- iii. Community-Based Climate Resilience Programs
- iv. Renewable Energy as a Climate Change Solution

14. Life Below Water (SDG 14)

- i. Sustainable Fisheries and Aquaculture Development
- ii. Marine Pollution and Biodiversity Conservation
- iii. Water Quality Monitoring and Preservation
- iv. Climate Impact on Water Bodies and Ecosystems

15. Life on Land (SDG 15)

- i. Afforestation and Land Restoration Strategies
- ii. Conservation of Biodiversity and Indigenous Species
- iii. Sustainable Land Use and Desertification Control
- iv. Wildlife Protection and Ecotourism Development

16. Peace, Justice, and Strong Institutions (SDG 16)

- i. Conflict Resolution and Peace building in Northern Nigeria
- ii. Strengthening Legal and Governance Systems
- iii. Human Rights and Social Justice Research

- iv. Community Policing and Security Sector Reforms

17. Partnerships for the Goals (SDG 17)

- i. International Collaboration for Research and Development
- ii. University-Industry-Government Partnerships
- iii. Strengthening Research Funding and Grants Systems
- iv. Data and Digital Infrastructure for Research Development

1.6 Peculiar Research Priorities for Jigawa State

- i. Desertification Control and Water Resource Management
- ii. Flood and Drought Resilience Strategies
- iii. Digital Inclusion and Rural Connectivity
- iv. Agriculture and Livestock Disease Management
- v. Youth Employment and Economic Diversification
- vi. Public Health issues for Malaria, Chronic Kidney Disease (CKD), Maternal Motality, Cardiovascular Disease, Diabetics and Chronic Respiratory Disease
- vii. Security and Counterterrorism Research

1.7 Scope of the Research Policy

The SLUK Research Policy serves as a code of conduct for anyone conducting research on behalf of the university, on its premises, or using its facilities and infrastructure. This policy applies to students, staff and researchers across all cadres.

1.8 The Directorate

The Directorate of Research, Innovation and Partnership (DRIP) has been created to achieve the following objectives:

- a) To promote high-impact research in key thematic areas and position the University as a credible international knowledge hub.
- b) To establish mechanisms for technology transfer, product development, and IP generation to make research functional.
- c) To serve as a link with national and international partners and maintain a data bank of collaborations and externally funded projects.
- d) To create a central database for research, publications, innovations, and networks for the benefit of the University community and beyond.
- e) To coordinate research, innovation, and partnerships, advising Senate and Management on priorities, resources, monitoring, and evaluation.
- f) To oversee and coordinate research and post-doctoral fellowships in the University.

2. STRUCTURE AND FUNCTIONS OF DIRECTORATE OF RESEARCH, INNOVATION AND PARTNERSHIP

2.1 Structure

The Directorate, headed by the Director, has three divisions, each headed by a deputy director as follows:

- a) Division of Research, Publications and Training
- b) Division of Innovations Commercialization and Partnerships.
- c) Division of Grant Management

2.2 Functions of DRIP

The following are the functions of DRIP:

The Directorate of Research, Innovation, and Partnership shall:

- a) Define and periodically review the University's research priorities in various disciplines, aligning them with emerging global trends, national development needs, and the United Nations Sustainable Development Goals (SDGs).
- b) Initiate and support all research programmes across the University
- c) Source, manage, and account for research funds.
- d) Provide guidelines, facilities, and capacity-building opportunities for researchers.
- e) Facilitate patenting, copyright protection, and commercialization/marketing of research outputs.
- f) Establish and maintain local, national, and international research and innovation partnerships.
- g) Ensure adherence to ethical, legal, and institutional research standards.
- h) Monitor and evaluate research projects to ensure quality and impact.
- i) Promote dissemination of research outputs through publications, conferences, and community engagement.
- j) Support innovation-incubation, start-ups, and technology transfer initiatives.

2.3 The Functions of the Division of Research Publications and Training

The following are the functions of the Division of Research and Publications:

- a) Oversee the implementation of the University's research policy framework, ensuring alignment with emerging global trends, national development priorities, and the UN Sustainable Development Goals (SDGs).
- b) Strengthen general research activities by providing benchmarks, training, and mentorship for academic and relevant non-academic staff.
- c) Foster collaborative and interdisciplinary high-impact research within and outside the University.
- d) Conduct periodic update on credible publications and citations.
- e) Promote and support indexed publications, while upgrading local publication outlets for international indexing and visibility.
- f) Vet and recommend to the Board applications for research grants from university research funds.
- g) Act as a clearing house for, and disseminator of, research information and activities across the University.
- h) Facilitate the publications and dissemination of research outputs in reputable outlets.

- i) Oversee research ethics compliance and ensure all projects meet ethical and regulatory standards.
- j) Monitor and evaluate the performance, impact, and outcomes of research projects.
- k) Manage institutional research databases and repositories for preservation and accessibility.
- l) Prepare and submit annual research report to the Director

2.4 The Functions of Division of Innovations Commercialization and Partnerships.

- a. Identify research outputs and projects with clear economic, commercial, or social value.
- b. Facilitate technology incubation of new inventions and innovations.
- c. Connect inventors with potential users, including industry, government, entrepreneurs, and investors, for knowledge transfer and commercialization.
- d. Support the creation of new techniques, technologies, products, businesses, licenses, and consultancy services arising from the University research.
- e. Provide technical, legal, and logistical support for securing Intellectual Property (IP) rights.
- f. Offer incentives to faculties, departments, and centers to generate IP and promote these outputs locally and internationally.
- g. Build researcher and research group capacity for IP-generating projects.
- h. Maintain a repository of commercially viable, knowledge-based products for the University.
- i. Attract and manage contract research with corporations, government agencies, and other partners.
- j. Organize periodic exhibitions and innovation fairs to showcase research output
- k. Support market analysis and feasibility studies for innovations before commercialization.
- l. Facilitate startup creation, spin-offs, and technology transfer agreements.
- m. Prepare and submit annual report to the Director

2.5 The Functions of Division of Partnerships and Grant Management

- a. Identify and circulate information on local, national, and international grant opportunities relevant to the University's research, innovation, and capacity-building priorities.
- b. Provide guidance and technical assistance to researchers and units in the preparation of competitive grant proposals, including budgeting, compliance, and documentation.
- c. Coordinate and oversee all institutional grant applications to ensure alignment with University policies and funder requirements.
- d. Facilitate the negotiation, acceptance, and formalization of grant awards on behalf of the University.
- e. Monitor the financial and programmatic implementation of grant-funded projects to ensure adherence to approved budgets, timelines, and deliverables.
- f. Prepare and submit periodic technical and financial reports to funding agencies in compliance with grant conditions.
- g. Maintain a centralized database of all grant applications, awards, expenditures, and outcomes for institutional record-keeping and reporting.
- h. Provide training and capacity-building for academic and administrative staff on grant writing, management, and compliance requirements.

- i. Liaise with funding agencies, collaborators, and relevant University units to resolve grant-related issues and ensure smooth project execution.
- j. Evaluate the impact of funded projects and disseminate lessons learned to improve future grant performance.
- k. Track and assess the implementation of grant-funded projects to ensure compliance with approved objectives, timelines, budgets, and performance indicators, and prepare periodic M&E reports for stakeholders.
- l. Prepare and submit annual report to the Director

2.6 Structure of DRIP

2.6.1 Board of Research, Innovation and Partnership

The Directorate is overseen by the Board of Research, Innovation, and Partnership (BRIP), which is chaired by the Vice-Chancellor. The Board is responsible for setting policies on research, innovation, and collaboration.

2.7 Membership of the Board

The membership of the board of Directorate of Research, Innovation and Partnership shall include the following:

- a) Vice-Chancellor (Chairman)
- b) Director, Directorate of Research, Innovation and Partnership.
- c) Deputy Directors of DRIP.
- d) Deans representing each of the faculties and school in the University.
- e) One Member representing each of the research institutes, directorates and centers (the person selected must be a PhD holder).
- f) Director of Academic Planning
- g) Director of ICT; and
- h) Administrative Secretary, Directorate of Research, Innovation and Partnership.

2.8 Functions of the BRIP

The Board is a policy making organs and shall perform the following functions:

- a) scrutinize all research proposals-degree and non-degree oriented and ensure that such proposals conform with the University research policy and guidelines.
- b) approve/disapprove research proposals as well as the quantum of funding and recommendations to the Vice-Chancellor,
- c) advise the Vice-Chancellor/Senate on research activities, innovation and partnership in the University.
- d) recommend to the senate, revised University policy or guidelines on the conduct of research, innovation and partnership from time to time.
- e) consider Research Progress reports from researchers and approve release of funds to researchers; and
- f) oversee the implementation of the University Research, Innovation and Partnership Policy and submit an annual report to the Vice-Chancellor.

2.9 College/Centre/Faculty/Institute/ School Research Committees

The research committees for colleges, centers, faculties, institutes, and schools will report to the DRIP Board. The committee will:

- a) receive applications for support/funding from prospective researchers in the departments, as well as research proposals.
- b) evaluate applications/proposals and make recommendations to the DRIP Board.
- c) keep records of University/Faculty research, funding, and copyrights; and
- d) keep profiles of researchers up to date
- e) Provide input to the division of research, publications and training with up-to-date records of credible publication channels and citations for personnel, as well as quarterly reports on research efforts.

2.10 Membership of College/Centre/ Faculty/Institute/School

Research Committees

The College/Centre/Faculty/Institute/ School Research Committees shall comprise the following:

- a) the Provost, Dean or Director to acts as Chairman.
- b) all Heads of Department.
- c) faculty Research coordinator (at least with a PhD); and
- d) all Professorial cadre staff.

2.11 Departmental Research Committee

A departmental research committee shall be established in each University department. The committee will:

- a) receive applications for support/funding from prospective researchers within the Department.
- b) assess applications/proposals and make recommendations to the faculty research committee.
- c) keep track of departmental research, funding, and copyrights.
- d) update and appraise profiles of researchers in the department; and
- e) submit an up-to-date record of reputable publication channels and citations of department staff.

2.12 Membership of Departmental Research Committee

The membership of Departmental Research Committee comprises the following

- a) the Head of Department as Chairman.
- b) departmental Research coordinator (at least with a PhD).
- c) all Professorial cadre staff in the Department.
- d) all lecturers in the department that are involved in research supervision at PG level (Provided they are not registered students).

2.13 Role of Faculty Research Coordinator (FRC)

The Faculty Research Coordinator serves as the primary link between the Faculty, the DRIP, and Faculty-level researchers. The role combines coordination, facilitation, compliance monitoring, and capacity-building functions.

1. Representation & Liaison

- a) Represent the faculty in university-level research boards/committees.
- b) Act as the communication bridge between the Directorate of Research/Ethics Committee and faculty researchers.
- c) Present faculty research proposals, reports, and achievements at Research Committee's meetings.

2. Research Development & Coordination

- a) Promote research activities within the faculty by encouraging grant applications, publications, and collaborative projects.
- b) Coordinate internal review of research proposals before submission to the Research Ethics Committee or funding bodies.
- c) Facilitate multidisciplinary and inter-faculty collaborations.

3. Compliance & Ethics Oversight

- a) Ensure that all research proposals from the faculty comply with university research policy, national regulations, and ethical standards.
- b) Track progress of ongoing projects to ensure compliance with approved protocols and timelines.
- c) Monitor grant expenditure reporting to maintain accountability.

4. Capacity Building

- a) Identify training needs for faculty researchers.
- b) Organize workshops and seminars on research methods, proposal writing, ethics, and grant management in collaboration with the Division of Grant Management of the Directorate

5. Monitoring, Reporting & Evaluation

- a) Maintain an updated database of faculty research projects, grants, and publications.
- b) Prepare periodic faculty research reports to the relevant division of the DRIP

- c) Track Key Performance Indicators (KPIs) for research output, impact, and quality.

7. Strategic Input

- a) Contribute to the faculty research agenda aligned with the university's strategic plan.
- b) Recommend priorities for research investment within the faculty.
- c) Suggest new policy measures to improve research quality and output.

Typical Qualifications

- a) Senior academic staff (minimum rank of Senior Lecturer).
- b) Active research portfolio with track record of publications and grants.
- c) Demonstrated experience in research leadership and mentorship.

3. RESEARCH DEVELOPMENT, INVENTION AND INNOVATION

3.1 Preamble

To support national development, research outcomes can be utilized and commercialized. For the effective use and commercialization of research outputs, SLUK, along with its staff, students, and partners, will comply with required contractual agreements and specified conditions, which are outlined as follows:

3.2 Research Development

SLUK is committed to advancing research and development that upholds the highest scientific and ethical standards. Its aim is to foster innovative and creative research that produces practical and impactful results.

3.3 Invention

The University strives to foster the creation of new processes, systems, technologies, products, and methodologies by encouraging innovative ideas and practices.

3.4 Innovation

The University shall promote the adoption of innovative or significantly improved products, processes, marketing strategies, business practices, and external engagements. Teaching in relevant fields should integrate innovation, with efforts made to ensure the commercialization of applicable inventions stemming from this research.

3.5 Commercialization/Marketing of Research and Innovations

SLUK will foster an environment that accelerates the transition of discoveries "from the lab to the market, generating revenue for the University and contributing to job creation.

3.6 Challenges

SLUK is committed to addressing the barriers hindering effective commercialization of its innovations. Historically, technologies developed by public research institutions were often disconnected from commercialization due to the following reasons:

- a) The primary mission of public laboratories often centers on fundamental research rather than product development.
- b) Research may focus on technologies prioritized for development irrespective of cost-effectiveness or market demand.
- c) Technologies are frequently designed to meet public needs rather than market demands; and
- d) Many researchers lack experience in market-driven environments.

To overcome these challenges, SLUK will initiate a structured program to re-orient and re-educate its community.

3.7 Managing the Commercialization Process

SLUK shall establish incubation centers and spin-offs to improve knowledge transfer and bridge the gap between academia and industry.

4 RESEARCH ETHICS AND INTEGRITY

4.1 Research Ethics

SLUK is committed to upholding the highest ethical, scientific, and intellectual standards, as well as complying with the requirements for obtaining funding from organizations for research purposes. All research conducted by university staff, students, or any affiliated individuals must be conducted safely and ethically, adhering to established criteria for the use of human subjects, animals, and biohazardous materials. The University shall have a University Research Ethical Review Committee (RERC) with the responsibility of reviewing and providing ethical clearance for research conducted within the University. The Composition of the Committee is provided on the table below:

Composition of the University Research Ethical Review Committee (RERC)

Position	Role / Responsibility	Membership Criteria	Mode of Appointment
Chairperson	Presides over meetings, ensures adherence to ethical guidelines, represents RERC to Senate & management.	Senior academic (Professor/Assoc. Professor) with research & ethics experience.	Appointed by Vice-Chancellor on recommendation of Senate/DRIP.
Vice-Chairperson	Assists Chairperson, acts in their absence.	Senior academic staff with experience in research.	Appointed by Vice-Chancellor upon recommendation by DRIP.
Secretary	Coordinates meetings, keeps records, communicates decisions to researchers.	Staff from DRIP.	Appointed by DRIP
Academic Staff Members	Provide subject expertise and disciplinary diversity in proposal review.	One members from each faculties with at least a PhD	Nominated by faculties, approved by DRIP
Ethics/Bioethics Expert	Advises on ethical principles, research ethics frameworks, and compliance.	Member with formal ethics training or significant research ethics experience.	Appointed by Vice-Chancellor.
Legal Representative	Ensures compliance with laws, regulations, and institutional policies.	University legal adviser or appointed legal expert.	Appointed by Vice-Chancellor.
Ad hoc Specialist(s)	Provide expert opinion on specialized research areas when needed.	Experts in specific research fields (e.g., genetics, epidemiology, AI ethics, etc.).	Invited by Chairperson on case-by-case basis.

4.2 Ethical approval is required when/if research involves the following:

- a) Collection of personal and/or sensitive information via questionnaires or interviews (written, audio and video). Information is classified as sensitive when it relates to research participants:
- b) physical or mental health condition.
- c) political opinions.
- d) religious beliefs or other beliefs of a similar nature.
- e) ethnic or racial origin.
- f) membership of a trade union.
- g) sexual life.
- h) the commission or alleged commission by him/her of any offence.
- i) any proceedings for any offence committed or alleged to have been committed by him/her, the disposal of such proceedings or the sentence of any court in such proceedings.
- j) Vulnerable groups such as children and young people under the age of 18 years old and those with a learning disability or cognitive impairment, or individuals in a dependent or unequal relationship.
- k) taking samples or measurements or administration of substances to healthy adult human participants.
- l) participants with medical conditions.
- m) deception or carrying out the study without participants' full and informed consent.
- n) research protocols that use the following subjects/materials:
 - i. animal products such as bone material, organ samples, skin, fur etc;
 - ii. animal or plant.
 - iii. biological materials (from any product derived from plants or animals);
 - iv. chemicals.
 - v. human tissue or embryos,
 - vi. genetically modified organisms (GMOs);
 - vii. human participants or data (including clinical drug trials);
 - viii. equipment, devices and pressure vessels.
 - ix. radiation or radioactive substances ionizing or non-ionizing).

Furthermore, Sule Lamido University, research policy on ethics, covers the following areas:

4.3 Research on Human Subjects

University employees and students conducting research involving human subjects as participants or informants must adhere to the following requirements:

- i. Participants or informants must provide informed consent after being fully briefed by the researchers about the research's purpose, potential implications, and risks.
- ii. All data collected from informants or human subjects must be kept confidential, ensuring anonymity is maintained when necessary.
- iii. Researchers must avoid asking questions that are insulting or embarrassing. Sensitive issues that could lead to embarrassment or compromise for the informant must be handled with care.
- iv. Monitoring devices, such as recorders and cameras, may only be used with the consent of the informant.

v. For research protocols involving human subjects, researchers must minimize risks to participants and address the issue of compensation for any research-related injuries. Efforts should be made to ensure that participants benefit from the study's findings. Approval from the Directorate of Research, Innovations, and Partnerships is required for all research involving human subjects before it commences.

vi. All safety standards related to the use of microorganisms, biochemicals, genetic materials, radiation, and chemicals in research involving human subjects must be strictly followed.

vii. All other safety standards related to the use of microorganisms, biochemicals, genetic materials, radiation, and chemicals in research involving human subjects must also be adhered to.

4.4 Research Involving Animals

Regulations on Animal Research

The following regulations must be observed for research involving animals:

- a. All relevant international standards for the humane care and use of laboratory animals must be adhered to in animal research.
- b. ii. The disposal of deceased animals or their tissues must comply with applicable health and safety regulations.
- c. iii. All individuals involved in the care and use of laboratory animals must strictly follow relevant laws and regulations pertaining to animal welfare.
- d. iv. Personnel engaged in animal research must undergo annual screening for transmissible diseases, such as COVID-19, tuberculosis, and Ebola, and must participate in training aimed at preventing the transmission of these diseases and ensuring the safety of the species involved.
- e. The animal housing facility, or animal house, must be equipped with safety kits and maintained to high standards.

4.5 Hazardous Agents

Hazardous agents encompass all chemicals, biological materials, radiation, and substances that can pose harm to individuals or society. When working with hazardous materials, the following must be considered:

- i. All relevant safety guidelines and regulations regarding the acquisition, use, storage, and disposal of bio-hazardous agents must be strictly followed.
- ii. Regular training in the safe handling and management of hazardous agents must be provided for research personnel.

4.6 Biomedical and Health-Related Research

The Directorate of Research, Innovations, and Partnerships (DRIP) will grant ethical and/or biosafety approval for any research project involving human beings, animals, or biosafety issues. Necessary approvals from state, federal, or traditional authorities are also required, including:

Human Subjects: Approvals from DRIP and institutional review boards overseeing research activities.

Materials Transfer Agreement (MTA): Required prior to transferring study samples (biological and human samples or data) between researchers, both domestically and internationally, or between university researchers and industry collaborators.

4.7 Integrity

Researchers at SLUK are expected to uphold high standards of integrity in all aspects of their work, including accurate budgeting in research proposals, careful procurement of research resources, and avoidance of fabrication, falsification, and plagiarism in reporting research findings. Regardless of the perceived value of the study, all research methods, observations, and outcomes must be meticulously documented and retained for a minimum of five years.

4.8 Research and Intellectual Property (IP) Ownership Framework

4.8.1. Introduction

SLUK is committed to fostering a research culture that promotes innovation, knowledge creation, and commercialization of research outputs. This policy establishes the ownership rights, protection, and commercialization framework for intellectual property (IP) generated within the University, ensuring fair distribution of benefits among stakeholders.

4.8.2. Scope of the Intellectual Property (IP) Ownership Framework

This framework applies to all intellectual property created by:

- Staff members, researchers, and students of SLUK.
- Visiting researchers working under SLUK's funding or resources.
- Collaborations between SLUK and external organizations, unless otherwise stated in specific agreements.

4.8.3. Ownership of Intellectual Property

4.8.3.1 Institutional Ownership

- i. Intellectual Property (IP) developed using University resources, funding, or facilities shall be **owned by SLUK with royalties shared between the University and the researchers.**
- ii. The University shall have full ownership of patents, trademarks, copyrights, software, and other IP developed under institutional grants, sponsored research, or significant use of university facilities.

4.8.3.2 Individual and Joint Ownership

- i. Researchers, faculty, and students shall have full ownership of IP when it is created outside the scope of university-funded projects and without the use of university resources, otherwise, joint ownership between the University and the researcher shall be considered.
- ii. In cases where IP is developed in collaboration with external entities, ownership shall be determined based on specific agreements between SLUK and the collaborating entity.

4.8.4 Commercialization and Revenue Sharing

4.8.4.1 Licensing and Commercialization

- i. SLU shall facilitate the licensing, commercialization, and monetization of patents, software, and other IP assets.
- ii. The University shall enter into licensing agreements with industry partners, government agencies, and private companies to ensure broad utilization of research outputs.

4.8.4.2 Revenue Sharing Formula

All revenue generated from the commercialization of IP, including **patents, software, and products**, shall be distributed as follows:

- **60% to Sule Lamido University Kafin Hausa (SLUK)** – To support research, innovation, and reinvestment into future projects.
- **40% to the Researchers/Inventors** – To reward innovation and encourage further research.

If multiple researchers are involved, the **40% share shall be distributed among them proportionally**, based on their contributions to the invention or software development.

4.8.4.3 Research Collaborations and Sponsored Research

- i. For research funded by external organizations, revenue-sharing terms shall follow the contractual agreement between SLUK and the funding entity.
- ii. In joint ventures with industry partners, revenue-sharing shall be determined based on mutual agreements.

4.8.5. Intellectual Property Protection

- i. The University shall establish an **Intellectual Property Office (IPO)** to oversee patent applications, copyright registrations, and legal protection of SLU-generated IP.
- ii. Researchers must disclose inventions to the IPO before publication or commercialization to ensure proper protection.

4.8.6. Dispute Resolution

Any disputes regarding ownership, revenue sharing, or commercialization shall be resolved through an **SLUK Research and IP Review Committee**, which will mediate and provide final decisions in line with Nigerian intellectual property laws.

4.8.7. Review and Amendments

This framework shall be reviewed periodically to ensure alignment with national and international intellectual property frameworks and to reflect changes in research priorities at SLUK.

4.9 Research Misconduct

Research misconduct includes any behavior that improperly appropriates the intellectual property or contributions of others, obstructs research progress, or undermines the integrity of research processes.

Such actions are deemed unethical and inappropriate, hence should be regarded as misconduct and punishable under the University's condition of service. Specific forms of misconduct include:

Fabrication of Data: Claiming data that has not been obtained.

Falsification of Data: Altering or misrepresenting data, including changing records.

Interference: Unauthorized taking, sequestering, or damaging any research-related materials belonging to another researcher, including apparatus, reagents, biological materials, writings, data, hardware, software, or other substances used in research.

Infringements: Violating the university's policies governing research practices.

Misrepresentation: Concealing or misrepresenting the truth, including:

- i. Presenting false information.
- ii. Omitting significant facts to mislead.
- f. Misappropriation: Engaging in plagiarism, which includes:
 - i. Reproducing, paraphrasing, or summarizing another's work without proper attribution.
 - ii. Using confidential information inappropriately, such as that from manuscript or grant reviews.
 - iii. Omitting references to relevant published works to falsely imply personal discovery.
 - iv. Misleading ascription of authorship: Misattributing authorship, including improper author listings, breaching moral rights of authors, attributing work to individuals who did not contribute, or failing to acknowledge contributions from research students, trainees, or associates.

4.10 Committee of Enquiry

When there is an allegation of research misconduct, the Director DRIP may set up a committee of enquiry and/or inquiry.

4.11 Enquiry

The committee of inquiry is required to complete its work and submit its findings to the Directorate of Research, Innovations, and Partnerships (DRIP) within three weeks.

Inquiry

- a) If the inquiry concludes that the allegation of misconduct has merit, DRIP will establish an inquiry (investigation) committee to initiate a formal investigation within three weeks (21 days) of the inquiry's completion.
- b) The purpose of the inquiry is to determine whether research misconduct has occurred, identify the responsible party, and assess the extent of the misconduct.
- c) The accused individual(s) will be afforded a fair hearing by being informed of the allegations against them and given the opportunity to defend themselves.
- d) A case of research misconduct will be substantiated if the allegation is proven true.
- e) The identities of both the accused individuals and the reporters of the alleged misconduct will be kept confidential to the greatest extent possible, with efforts made to protect their positions and reputations.
- f) All parties involved in the misconduct proceedings must maintain confidentiality, ensuring that information obtained during the process is not shared with unauthorized individuals.

- g) If the inquiry establishes that research misconduct has occurred, any university staff or student involved will be referred to the Senior Staff Disciplinary and Appeals Committee or the Student Disciplinary Committee, as appropriate. Other individuals involved, who do not fall into these categories, will be referred to law enforcement authorities.
- h) If the individual(s) is/are found not to have engaged in research misconduct, all reasonable and practical measures will be taken to protect and restore their reputation and integrity.

5. FUNDING AND FINANCIAL MANAGEMENT

5.1 Sources of Funding

The sources of funding include IGR, State government research grant, TETFund and other National and International donor agencies. However, the university is committed to the following objectives:

- a. Allocate a minimum of 5% of its annual Internally Generated Revenue(IGR) for research, with these funds deposited into the University dedicated Research Account.
- b. The Directorate of Research, Innovations, and Partnerships (DRIP) will maintain and regularly update a list of all research funding sources.
- c. DRIP will compile and share with the university community a list of potential funders, including calls for research proposals seeking support.
- d. Faculties, Schools, Colleges, Centers, and Directorates will earmark 10% of the profits of the funds generated from professional and commercial programs for research activities, which will also be deposited into the University Research Account.

5.2 Financial Management

SLUK will allocate research funds and grants in alignment with its overall budgetary policies. To ensure transparency and accountability, all funds received and distributed must be documented and audited. The university is responsible for ensuring that these funds are utilized effectively to meet the objectives of the grants. The following requirements are in place to ensure sound financial management:

- a. The Research Finance Officer (RFO) in the DRIP office will maintain records of the inflow and outflow of funds.
- b. The RFO must be a qualified accountant and will be supported by other relevant professionals in fund administration.
- c. The University Audit Unit will verify all expenses incurred from research funds.
- d. A detailed financial statement for all grants must be presented and discussed on the Board of DRIP at the end of each accounting year or at agreed intervals.
- e. A comprehensive report on research grants will be submitted to the Vice-Chancellor.
- f. Progress and annual audited financial reports will be prepared by DRIP and sent to all external funding agencies.
- g. Researchers must provide reasonable cost estimates in their proposals, adhering to the limits set by government and university regulations.
- h. The expenditure on research funds is subject to approval by DRIP and any other relevant funding agencies, in accordance with the terms and conditions of the grants.
- i. Recipients of research grants must report on both the findings and financial aspects of their research upon completion.
- j. Recipients of research grants are required to be paid in salary accounts.
- k. Failure to submit an expenditure report to DRIP within 60 days after the expiration of any research may be considered research misconduct and will be addressed accordingly.

5.3 Accountability and Transparency

SLUK is devoted to research transparency and responsibility, and the following criteria will be followed:

- i. All financial transactions must be fully receipted and accounted for by the researcher/grantee/(s);
- ii. Internal/External auditors may be hired by the DRIP to audit research funds disbursed and utilized by researchers
- iii. all research processes and procedures must be adhered to

6. RESEARCH SUPPORT SERVICES

6.1 Scope of Research Support

The University understands the importance of fostering a conducive atmosphere for research, which necessitates the provision of a well-coordinated research support service to researchers at the departmental, faculty, college, and center levels.

DRIP will provide two types of research support services:

- i. information-based Research Support Services; and
- ii. facility-based Research Support Services.

6.2 Information-based Research Support Services

The University believes that offering holistic assistance is critical to improving research success. As a result, it defines eight (8) essential areas of assistance that may be offered to researchers as well as university departments and organizations. The University is required to: -

- i. enhance channels for access and opportunity to research information resources.
- ii. provide a platform for securing funding.
- iii. strengthen collaboration networks.
- iv. provide training support for all researchers
- v. provide administrative, Financial, and managerial advisory services.
- vi. create a platform for dissemination of research output.
- vii. sustain an efficient institutional management of research by keeping information on research and researchers; and
- viii. collaborate with the University Library in sourcing and providing information services on up-to-date scholarly literature for use by researchers.

6.3 Facility-Based Research Support Services

Effective and efficient research facilities are necessary to foster high-quality research and attract both new and experienced researchers. The University will improve and sustain the physical and social infrastructure required for fruitful research.

DRIP will assist researchers with advise as well as facility availability, quality, access, and utilization.

7. RESEARCH PARTNERSHIPS

7.1 Research Partnerships

The University will work to strengthen its strategic position by forging mutually beneficial relationships with academic and other relevant institutions both within and beyond Nigeria. SLUK will collaborate with appropriate institutions to organize major research postings and worldwide partnerships/exchanges of vital know-how for research and development based on international research and innovation knowledge. The University will collaborate with the following stakeholders to focus on the Partnerships and Networking strand:

- a) Big corporations
- b) Employer Organizations
- c) Government
- d) Higher Education Institutions
- e) International Organizations and any other donor agents
- f) Small and Medium Enterprises
- g) Social and Community Organizations including NGOs, CBOs, FBOs

DRIP's Research and Partnership Units will organize all types of academic collaborations and connections, as well as provide a forum for exploring new areas of collaboration.

7.2 Medium Term Approaches to Knowledge Transfer

SLUK aspires to share/transfer its research findings and new innovations using one or combination of the approaches below:

- a. **Consulting:** The University's expertise will be open to the government and other sectors under agreed terms.
- b. **Contract research:** University Researchers shall be encouraged to engage in applied research from specific field of knowledge with private corporations under agreed terms.
- c. **Field Work:** Academic Departments should intensify engagements with communities in the catchment area of the University to ensure dissemination of relevant research findings for appropriate impact at the community level.
- d. **Graduate and Researcher Mobility:** The University shall intensify its efforts to produce graduates with relevant skills and competencies to drive positive change in the society.
- e. **Licensing:** In some cases the University may enter into licensing with interested investors to develop products or services emanating from the research and innovations of the University.
- f. **Start-ups:** SLUK shall use its technology/business incubators and collaboration to form viable start-ups as part of revenue generation drive of the University. The companies can be wholly owned or partially owned by the University.
- g. **Spin-offs:** the University will place special attention on new ventures created from licensing or permission for the use of its intellectual property.

7.3 Long Term Plans for Knowledge Transfer

Sule Lamido University will use one or a combination of the following to achieve its strategic aim of transferring/sharing knowledge to society:

- i. Infusion of findings into teaching;
- ii. Cluster initiatives;
- iii. Technology networks;
- iv. Intensive community engagement;

- v. Venture capital funds;
- vi. Science parks; and
- vii. Technology brokers.

8. RESEARCH TRAINING AND MENTORING

8.1 Research Training

The university will guarantee that its personnel and students get frequent and effective research training. The training will include grooming young academics into the University's research culture by equipping them with research methodology, computer and internet resources, and research methodology to enable them to conduct effective research for higher degrees (Masters and PhD) in their relevant disciplines. Senior academics will benefit from research retraining activities, particularly in new or developing research concepts, paradigms, and procedures, as well as ICT programs such as plagiarism detection, referencing management and other analysis software tools.

8.2 Training Workshops

DRIP in consultation with Deans, Directors, and Heads of Department shall:

- i. have regular workshops on research and grantsmanships
- ii. the workshops organized by DRIP shall be at the level of faculties, colleges, centers and directorates.
- iii. DRIP shall make use of resource persons within and outside the University;
- iv. the proceedings of the workshop shall be published and distributed to faculty members; and
- v. the University may also sponsor academic staff to other training workshops on capacity building in research, organized by other institutions or professional bodies and organizations within and outside Nigeria.

9. PROCEDURE AND PUBLICITY

9.1 Degree and Non-Degree Oriented Research Procedure

Research proposals from outside the university may be supported by the university if the following conditions are met:

- i. that a staff of SLUK is the principal collaborator.
- ii. that the research proposal is assessed by DRIP and is found worthwhile.
- iii. that the interest of the SLUK is adequately protected; and
- iv. that the research conforms to the general guidelines governing the conduct of research in the University

All applications for non-degree researches must have a defined schedule for completion.

9.2 Leadership and Supervision of Researches

The following conditions apply with regards to leadership and supervision of research in the University:

- i. Degree oriented research shall be under the guidance and supervision of competent supervisors(s). The supervisors shall have the technical skills and competence to supervise the research work, and his/her/their appointment must follow existing school/college of postgraduate studies guidelines; and
- ii. Non-degree-oriented research shall be under the leadership of a Principal Researcher/Investigator who is to serve as the link between the researchers, funding agency and the DRIP

9.3 Research Dissemination and Publication

The Directorate of Research, Innovation and Partnerships (DRIP) shall periodically:

- i. publish a research report bulletin which would keep the University community and the wider society informed about on-going research, progress in research and breakthroughs.
- ii. use the mass media, especially radio and television, to reach out to a larger number of stakeholders outside the University to:
 - a) mobilize support for research activities
 - b) engage the society in a constant research dialogue
- iii. publish research results in relevant and outstanding journals or books by reputable world class publishers.
- iv. publish at least One (1) journal paper in an indexed journal for any funded research facilitated by the University. However, if the grant exceeds two million naira, the journal must be Scopus/Web of Science.
- v. DRIP shall provide funding support for research publication in high impact journals (i.e., quartile rated journals, Q1-Q4)
- vi. DRIP shall be prominently hosted on the University website; and
- vii. Researchers shall be encouraged to present their research findings to the University community or public through the University Lecture Series or through the 'Public Lecture Series'.

9.4 Research Handling and Record Keeping

In research handling and record keeping the following guidelines shall be followed:

- i. DRIP shall keep databases of research and researchers, including research linkages.
- ii. DRIP shall keep research data archives consisting of, but not limited to, e-prints, laboratory notes, images data and reports.

- iii. Data and research reports must conform to the ethics and integrity provisions of the research policy.
- iv. DRIP shall have rights and responsibility along with the researcher or principal researcher (in case of collaborative research) for data access and record management.

10. MONITORING AND EVALUATION

10.1 Monitoring and Evaluation

The Directorate of Research, Innovation and Partnership shall be:

- a) responsible for monitoring and evaluation of Research in the University:
- b) ensure that the dynamic nature of global research is followed and maintained.
- c) develop appropriate Monitoring and Evaluation (M&E) tools for assessing research activities in the University.
- d) regularly update (M&E) tools for performance appraisal mechanisms and review the policy document from time to time; and
- e) ensure reporting of progress in the management and administration of research in the University.

10.2 Guidelines

The DRIP shall carry out all these responsibilities in accordance with the following guidelines

- i. measure the results/impact of research carried out in the University.
- ii. identify, recognize and recommend to the University to reward the best researchers through any of the following.
 - a. Incentives (material, monetary etc.)
 - b. Recognition
 - c. Merit Award
- iii. collect progress reports, annually/periodically, to effectively monitor all ongoing research.
- iv. document research accomplishments and compliance with the terms and conditions of research grants as well as problems encountered by researchers.
- v. prepare, produce and update research performance statistics containing list of researchers, grants, contact, publications and patents as a report to the Senate of the University
- vi. send all research proposals for blind peer review to ensure originality and quality in research.
- vii. Continuously carry out evaluation and impact assessment of the outcome of research in respect of

- a. accomplishment of the goals and objectives of the research.
- b. impact on society for example attitudinal change, policy change legislations and the environment; and
- c. Contribution to knowledge.

11. Declaration

The Sule Lamido University Kafin Hausa, PMB 048, Jigawa State, Nigeria, hereby declares this Research Policy, as the applicable guidance for code of conduct for anyone conducting research on behalf of the university, on its premises, or using its facilities and infrastructure. This policy applies to students, visiting researchers, professors, academic staff below the rank of professor, associates, honorary or clinical contractors, and consultants within the university.

Signed and Stamped by

(The Registrar, SLUK)

This _____ day of _____ in the Year 2026

Signed and Stamped by

(The Vice Chancellor, SLUK)

This _____ day of _____ in the Year 2026